Improving Sleep for Persons with Anxiety and Post-Traumatic Stress Disorder: A Mini Review of Literature

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### **MINI REVIEW**

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# ABSTRACT

There is increasing concern by patients and providers seeking help with sleep initiation and maintenance yet little verified evidence on the efficacy of non-medicinal treatments for sleep disorders. Some articles have suggested relationships exist between anxiety, posttraumatic stress disorder (PTSD), body temperature, temperature and sleep quality which suggests measuring heat loss at the peripheral skin level has potential to predict sleep initiation and potentially providing a means of regulating body temperature during sleep. This may help adults achieve more restorative sleep and improvement in overall quality of health. The following is a mini literature review related to sleep disorders and the potential benefit of thermoregulation and other non-medicinal modalities. Key words: anxiety; veterans; sleep disorders; posttraumatic stress disorder (PTSD); temperature; sleep

A literature search was performed utilizing the key terms: anxiety, veterans, sleep disorders, and posttraumatic stress disorder (PTSD) returning 182 articles. Articles from this sample were examined and reviewed for appropriateness and relation. A snow-ball effect was utilized to find additional articles related to the key terms. A separate literature search utilizing key terms temperature and sleep was performed finding 79 articles. Only 4 principle systematic reviews were found directly relating to the topic. N = 47 articles were determined as directly related.

# **BACKGROUND AND SIGNIFICANCE**

Sleep disorders have previously been declared a public epidemic affecting an estimated 50-70 million people as reported by the Centers for Disease Control and Prevention (2015) and the Institute of Medicine [IOM] [1]; [2]. Multiple source have indicated people require 7-8 hours of sleep [3]; [4], but in the U.S., a majority, 53% do not achieve it [5]. Additionally, poor sleep quality has been attributed to multiple adverse effects: stroke, dementia, heart disease, diabetes, and hypertension [6]. More than 100,000 motor vehicle crashes, 71,000 injuries, 1550 deaths and nearly \$12.5 billion dollars lost has been attributed to drowsy drivers each year [7]. In fact, McKibben and colleagues [8] found, in the U.S. (n = 2249), increased odds of disturbed sleep effect on work performance (OR = 3.34), "bad" mental health (OR = 3.34), physical health (OR = 2.01) and impaired daily function (OR = 2.32). Finally, insomnia



has been reported and evidenced as associated with several mental health disorders which is inclusive of post-traumatic stress disorder (Rifkin et al., 2018).

## **REVIEW OF LITERATURE**

The physiologic effects of temperature and sleep has been demonstrated by several authors noting moderate changes in thermoregulation to have significant effect on circadian rhythms [9-13]. The largest reported study on sleep in N = 765,000 individuals in a phone interview comparing self-reported sleep related with determined geolocated temperature noting deviation of 1° C in monthly night temperature resulted in inadequate sleep over 3 nights/100 persons [14]. This has also been repeatedly reported as true among the elderly with frequent awakenings and an inability to go back to sleep [15-18]; Liao 2002). As noted, the hypothalamic suprachiasmatic nucleus (SNS) controls and regulates the body's temperature sleep and wake cycles [19]; [20-22]. These temperatures correlate with the circadian rhythm [23]; [19]; [24], [20-22]. The body's core temperature tends to peak in the afternoon then trough in the very early morning (approximately 04:00 hrs.) and individuals tend to wake as the body attempts to increase its temperature [25]. These findings were also supported by Burgess, Homes and Dawson [26] when comparing heart rate, core and rectal body temperatures while monitoring polysomnography, electrocardiogram and skin foot temperature. Burgess et al. [26] found NREM/REM sleep cycles 4 - 5 times at night; rectal temperature decreased (due to vasodilation) in the first hour with increased skin foot temperature was correlated with NREM sleep; foot temperature remained increased and plateau. According to [27], evidence suggests there are relationships between body temperature, its thermoregulation and sleep with heat loss at the peripheral skin level – and this can help predict sleep initiation.

The most appropriate related article found was a systematic review of N = 16 articles by Rifkin, Long, and Perry (2018) relating "(c)limate change and sleep: A systematic review of the literature and a conceptual framework". A second systematic review was found by W.

Liao (2002) reporting on N = 3 articles, "(e)ffects of passive body heating on body temperature and sleep regulation in the elder: A systematic review". Both articles provided evidence and support for the effect temperature on sleep. Additional studies on temperature effect found beforebedtime passive heating with warm showers 1-2 hours before bedtime was also found through systematic review and meta-analysis to enhance a subjective relaxation effect important in to sleep initiation [28].

Two principle cohort studies were found relating to veterans and sleep disorders. Review of the articles led to 16 articles demonstrating background and significance for sleep disorders among veterans. The first was [29], an observational, descriptive cohort study of N = 325 male veterans evaluating "Longitudinal associations between sleep, intrusive thoughts, and alcohol problems among veterans". Sleep disturbance was defined as "sleep of poor quality, timing, efficiency, or duration" (Buysse, [30], p. 9). This study found sleep disturbance among veterans to be more commonly related to intrusive thoughts correlated with PTSD [29]. Several additional studies also documented in cross-sectional methodology increased odds of sleep disturbance and severity of PTSD among veterans [31-36]. Insomnia, in particular, was noted as common among veterans with PTSD by Pigeon, Campbell, C. E., Possemato, K., and Ouimette [37] as well as Short, Allen and Schmidt [38]. In another example, Brown and colleagues [39] found, in a cross-sectional study of n = 191, sleep disturbances with PTSD and fears of sleeping along following the Katrina hurricane disaster. In so following, sleep disorders in veterans has been known to lead to difficulty in controlling or managing emotions and/or thoughts [40]; [41]. This has been recognized as exacerbating PTSD. While there is support and documented willingness for behavior treatment for sleep disturbance by several authors specializing in PTSD, there is broad support for randomized trials to manipulate and improve sleep in effort to assist with sleep intrusions and disorders [42-45].

There has been limited other research related to sleep and efforts to improve sleep quality with the exception for cognitive behavioral therapy. In a metaanalysis of 9 studies (n = 1210) by Ya, Zhang, Chen, Liu, Li, Liu, Lang, Lin, Yang, and Jian [46], cognitive behavioral therapy via the internet was effective at improving anxiety and depression which has repeatedly been shown to impact sleep quality. Similarly, cognitive behavior therapy was found to be highly effective towards improving sleep quality in patients with co-morbid psychiatric disorders in systematic reviews of 16 studies (n = 571) [47]. This point has been further supported by Ho, Chung, Yeung, Ng, Kwan, Yung, and Cheng [48] in a metanalysis of 20 randomized controlled studies relating self-help cognitive behavioral therapy. Other studies interventions include laughter and humour interventions as noted in a 2019 meta-analysis of 10 studies by Zhao, Yin, Zhang, Shang, Wang, and Chen [49]. In these studies (n = 814), laughter was assessed through randomized controlled trials with a positive effect upon Pittsburgh Sleep Quality Index (MD = -1.93, 95% CI (-3.65 to -0.21), p = .03) [50]; [51]; [49]. Contrastingly, exercise before bedtime was not found to have improving effect or enhancing sleep quality, but may have impaired it as noted in a review of 23 studies (n = 275) by Tutz, Ekholzer, & Spengler [52].

### CONCLUSION

This brief review of literature related to sleep disorders indicates there are some but limited studies and evidence on the potential for improving sleep initiation and quality through thermoregulation. There is evidence supporting the utilization of cognitive behavioral therapy to improve sleep. Veterans and adults affected by posttraumatic stress disorder and impaired sleep quality, in particular, exhibit impaired physical, emotional and mental health in addition to having multiple adverse effects leading to stroke, dementia, heart disease, diabetes, and hypertension. There is some literature and support for monitoring heat loss via skin temperature which can help predict sleep initiation employing non-invasive thermoregulation to maintain optimal body temperatures during sleep may improve sleep quality, physical, emotional, and mental health of those affected. However, little evidence comparing thermoregulation to cognitive behavioral therapy alone or combined exists. This brief review of literature suggests additional study is needed to understand non-medicinal sleep interventions which may improve both initiation and quality, particularly in persons affected with anxiety and PTSD.

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# PEER REVIEW

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